



Regulatory and Other Committee

Open Report on behalf of Pete Moore, Executive Director Resources and Community Safety

Report to:	Audit Committee
Date:	11 November 2013
Subject:	Analysis of High Priority Recommendations Outstanding at 30 September 2013

Summary:

This report provides an analysis of the high priority audit recommendations outstanding at 30 September 2013, and suggests how they should be managed.

Recommendation(s):

That the Committee notes the contents of the report, agrees to the suggested actions, and identifies any additional actions it requires.

Background

1. The Corporate Audit recommendation tracking process has identified three high priority recommendations outstanding from audits that received 'No' or 'Limited' assurance. This report provides more detail on the status of those recommendations and suggests how they should be managed.

High Recommendations Outstanding

Audit	ICT Service Management		
Date	July 2012	Assurance	Limited
Action	Services to be delivered under the ICT contract to be defined and timescales agreed as part of the NGP project and progress of the project monitored by the monthly Programme Delivery Board and quarterly Strategic Board.		
Status	Partially Complete. The amendments have now been agreed and final sign off (which is part of a bigger piece of work) is expected by the end of November 2013.		
Suggested Action	Follow-up this action in December 2013 to ensure it has been completed.		

Audit	Councillor Big Society Fund		
Date	September 2012	Assurance	Limited
Action	Random spot checks are to be undertaken on all grants awarded which exceed two thirds of the Councillors annual allowance to ensure the grant conditions have been complied with.		
Status	Initially no random checks were undertaken, however recently a sample of 10 grants awarded between October 2012 and March 2013 were selected for checking. The checks were expected to be complete by the end of November 2013.		
Suggested Action	Follow-up this action in December 2013 to ensure it has been completed.		

Audit	Child Protection Plans		
Date	May 2013	Assurance	Limited
Action	Child Protection Plans are to include clear overall contingency arrangements should the plan fail.		
Status	We have not received an update on this action.		
Suggested Action	Chase this action as a matter of urgency.		

Conclusion

2. There are three high priority recommendations outstanding. We will be following them all up in the next two months to ensure those actions are adequately progressed.

Consultation

a) Policy Proofing Actions Required

n/a

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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